

PTO-1449 Information Disclosure Citation In an Application	Application No. 10/822,484		Applicant(s) Joseph M. Asher, et al.	
	Docket Number 069547.0157	Group Art Unit 3694	Filing Date April 12, 2004	

U.S. PATENT DOCUMENTS

	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
<i>AK</i>	2004/0229671 A1	11/18/2004	Stronach, et al.	463	6	02/25/2004
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	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
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	DOCUMENT (Including Author, Title, Source, and Pertinent Pages)	DATE
<i>PK</i>	Notification of Transmittal of the International Search Report and the Written Opinion of the International Searching Authority, or the Declaration for International Application No. PCT/US04/11206; 9 pages;	06/05/2007
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EXAMINER

E. Asher

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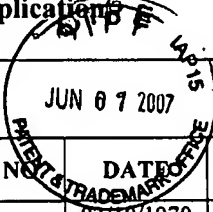
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

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B	3,573,747	04/06/1971	Adams, et al.	340	172.5	02/24/1969
C	3,581,072	05/25/1971	Nymeyer	235	152	03/28/1968
D	4,378,942	04/05/1983	Isaac	273	278	12/19/1980
E	4,412,287	10/25/1983	Braddock, III	364	408	09/15/1982
F	4,597,046	06/24/1986	Musmanno, et al.	364	408	09/30/1982
G	4,674,044	06/16/1987	Kalmus, et al.	364	408	01/30/1985
H	4,823,265	04/18/1989	Nelson	364	408	05/11/1987
I	4,903,201	02/20/1990	Wagner	364	408	11/03/1983
J	4,918,603	04/17/1990	Hughes, et al.	364	410	08/01/1988
K	4,980,826	12/25/1990	Wagner	364	408	03/19/1984
L	5,077,665	12/31/1991	Silverman, et al.	364	408	05/25/1989
M	5,101,353	03/31/1992	Lupien, et al.	364	408	05/31/1989
N	5,108,115	04/28/1992	Berman, et al.	273	439	10/15/1990
O	5,136,501	08/04/1992	Silverman, et al.	364	408	05/26/1989
P	5,270,922	12/14/1993	Higgins	364	408	06/27/1991
Q	5,297,032	03/22/1994	Trojan, et al.	364	408	02/01/1991
R	5,375,055	12/20/1994	Togher, et al.	364	408	02/03/1992
S	5,497,317	03/05/1996	Hawkins, et al.	364	408	12/28/1993
T	5,508,913	04/16/1996	Yamamoto, et al.	364	408	03/18/1994

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B	5,689,652	11/18/1997	Lupien, et al.	395	237	04/27/1995
C	5,692,233	11/25/1997	Garman	705	36	02/03/1995
D	5,713,793	02/03/1998	Holte	463	25	04/05/1996
E	5,727,165	03/10/1998	Ordish, et al.	395	237	12/27/1994
F	5,819,238	10/06/1998	Fernholz	705	36	12/13/1996
G	5,845,266	12/01/1998	Lupien, et al.	705	37	12/12/1995
H	5,846,132	12/08/1998	Junkin	463	42	04/10/1996
I	5,905,974	05/18/1999	Fraser, et al.	705	37	12/13/1996
J	5,924,082	07/13/1999	Silverman, et al.	705	37	06/07/1995
K	5,950,176	09/07/1999	Keiser, et al.	705	37	03/25/1996
L	6,012,046	01/04/2000	Lupien, et al.	705	37	10/16/1997
M	6,014,643	01/11/2000	Minton	705	37	08/26/1996
N	6,016,483	01/18/2000	Rickard, et al.	705	37	09/20/1996
O	6,029,146	02/22/2000	Hawkins, et al.	705	35	08/21/1996
P	6,120,376	09/19/2000	Cherry	463	16	03/10/1998
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R	6,347,086 B1	02/12/2002	Strachan	370	389	09/04/1998

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<input checked="" type="checkbox"/>	A	6,371,855 B1	04/16/2002	Gavriloff	463	42	09/08/2000
<input checked="" type="checkbox"/>	B	6,470,324 B1	10/22/2002	Brown, et al.	705	28	02/19/1999
<input checked="" type="checkbox"/>	C	6,669,565 B2	12/30/2003	Liegey	463	42	02/05/2001
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<input checked="" type="checkbox"/>	E	6,711,550 B1	03/23/2004	Lewis, et al.	705	10	03/31/2000
<input checked="" type="checkbox"/>	F	6,925,446 B2	08/02/2005	Watanabe	705	26	02/22/2001
<input checked="" type="checkbox"/>	G	6,939,137 B1	09/06/2005	Colaio, et al.	434	107	12/12/2001
<input checked="" type="checkbox"/>	H	7,001,279 B1	02/21/2006	Barber, et al.	463	42	08/30/2002
<input checked="" type="checkbox"/>	I	7,006,991 B2	02/28/2006	Keiser, et al.	705	37	11/21/2002
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<input checked="" type="checkbox"/>	N	7,146,334 B2	12/05/2006	Hogg, et al.	705	37	12/08/2000
<input checked="" type="checkbox"/>	O	7,152,041 B2	12/19/2006	Salavadori, et al.	705	36 R	03/10/2003
<input checked="" type="checkbox"/>	P	7,162,447 B1	01/09/2007	Cushing	705	37	02/02/2000
<input checked="" type="checkbox"/>	Q	7,171,386 B1	01/30/2007	Raykhman	705	37	10/08/1999
<input checked="" type="checkbox"/>	R	2001/0003099 A1	06/07/2001	Von Kohorn	463	40	06/01/1998
<input checked="" type="checkbox"/>	S	2002/0026390 A1	02/28/2002	Ulenas, et al.	705	27	08/23/2001
<input checked="" type="checkbox"/>	T	2002/0062243 A1	05/23/2002	Anderson	705	10	10/02/2001

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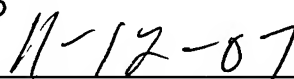
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B	2002/0077880 A1	06/20/2002	Gordon, et al.	705	10	12/14/2000
C	2002/0120490 A1	08/29/2002	Gajewski, et al.	705	10	02/26/2001
D	2002/0161622 A1	10/31/2002	Zhang, et al.	705	10	03/21/2001
E	2003/0018564 A1	01/23/2003	Bonnier, et al.	705	37	07/20/2001
F	2003/0018571 A1	01/23/2003	Eckert, et al.	705	37	06/14/2002
G	2003/0061096 A1	03/27/2003	Gallivan, et al.	705	14	05/09/2002
H	2003/0154092 A1	08/14/2003	Bouron, et al.	705	1	05/16/2001
I	2003/0177055 A1	09/18/2003	Zimmerman, Jr., et al.	705	10	03/14/2002
J	2003/0204408 A1	10/30/2003	Guler, et al.	705	1	04/30/2002
K	2003/0236734 A1	12/25/2003	Guler, et al.	705	37	06/19/2002
L	2004/0059646 A1	03/25/2004	Harrington, et al.	705	27	07/07/2003
M	2004/0110552 A1	06/10/2004	Del Prado	463	4	12/09/2002
N	2004/0153375 A1	08/05/2004	Mukunya, et al.	705	26	01/23/2004
O	2004/0192437 A1	09/30/2004	Amaitis, et al.	463	26	06/03/2003
P	2004/0199471 A1	10/07/2004	Hardjono	705	50	04/01/2003
Q	2004/0210507 A1	10/21/2004	Asher, et al.	705	37	09/03/2003
R	2004/0225554 A1	11/11/2004	Chiappetta, et al.	705	10	05/08/2003

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<i>PA</i>	2005/0021441 A1	01/27/2005	Flake, et al.	705	37	07/22/2003
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<i>C</i>	2005/0055302 A1	03/10/2005	Wenger, et al.	705	36	06/14/2004
<i>D</i>	2005/0064937 A1	03/24/2005	Ballman	463	40	09/18/2003
<i>E</i>	2005/0102215 A1	05/12/2005	Ausubel, et al.	705	37	05/31/2002
<i>F</i>	2005/0125333 A1	06/09/2005	Giannetti	705	37	11/20/2004
<i>G</i>	2005/0154629 A1	07/14/2005	Matsuda, et al.	705	10	01/07/2005
<i>H</i>	2005/0160020 A1	07/21/2005	Asher, et al.	705	35	08/09/2004
<i>I</i>	2005/0160028 A1	07/21/2005	Asher, et al.	705	37	08/09/2004
<i>J</i>	2005/0160029 A1	07/21/2005	Asher, et al.	705	37	08/09/2004
<i>K</i>	2005/0209954 A1	09/22/2005	Asher, et al.	705	37	05/20/2005
<i>L</i>	2006/0025208 A1	02/02/2006	Ramsey	463	25	07/27/2005
<i>M</i>	2006/0100006 A1	05/11/2006	Mitchell, et al.	463	9	11/11/2004
<i>N</i>	2006/0105840 A1	05/18/2006	Graeve	463	42	05/16/2005
<i>O</i>	2006/0116950 A1	06/01/2006	Poffenberger, et al.	705	37	11/18/2005
<i>P</i>	2006/0143099 A1	06/29/2006	Partlow, et al.	705	35	09/23/2004
<i>Q</i>	2006/0173761 A1	08/03/2006	Costakis	705	35	04/17/2006
<i>R</i>	2006/0173764 A1	08/03/2006	Costakis, et al.	705	35	04/18/2006
<i>S</i>	2006/0259381 A1	11/16/2006	Gershon	705	35	04/11/2006
<i>T</i>	2006/0277135 A1	12/07/2006	Jain, et al.	705	37	06/03/2005

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G	EP 1 132 836 A1	09/12/2001	EPO	G06F	17/60		
H	JP 2002049789	02/15/2002	Japan	G06F	17/60	Abstract Only	
I	KR 2001065086	07/11/2001	Korea	G06F	17/60		
J	KR 2001098204	11/08/2001	Korea	G06F	17/60		
K	KR 2002042036	06/05/2002	Korea	G06F	17/60		
L	WO 98/58333 A1	12/23/1998	PCT	G06F	17/60		

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M	Nils H. Hakansson, et al.; The Journal of Finance; <i>On the Feasibility of Automated Market Making by a Programmed Specialist</i> ; Vol. XL, No. 1; pp. 1-20.	03/1985
N	William C. Freund; California Management Review; <i>Trading Stock Around the Clock: The Future Growth of Global Electronic Markets</i> ; 34, 1; ABI/INFORM Global; pp. 87-102.	1991
O	Barbara Howard; The Trade; Institutional Investor; <i>Technology Aims to Take the Final Step</i> ; pp. 15-16.	01/1991
P	Richard R. Lindsey, et al.; Financial Analysts Journal; <i>Specialist vs. Saitori: Market-Making in New York and Tokyo</i> ; 48, 4; ABI/INFORM Global; pp. 48-57.	July/Aug. 1992
Q	K. C. Chan, et al.; The Journal of Business; <i>Market Structure and the Intraday Pattern of Bid-Ask Spreads for NASDAQ Securities</i> ; Vol. 68, No. 1; pp. 35-60.	01/1995
R	Jia Ye; <i>An Investigation of Market Fragmentation and the Specialist's Quotation Strategy</i> ; 97 pages.	08/1995
S	Robert Bloomfield; The Accounting Review; <i>The Interdependence of Reporting Discretion and Informational Efficiency in Laboratory Markets</i> ; Vol. 71, No. 4; pp. 493-511.	10/1996
T	Christine DeMartini, et al.; <i>A New and Improved Design for Multi-Object Iterative Auctions</i> ; 45 pages.	03/15/1999

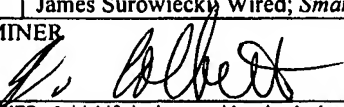
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C	Robin D. Hanson; IEEE Intelligent Systems; Trends & Controversies; <i>Decision Markets</i> ; pp. 16-20.					May/June 1999
D	In Science; <i>The Real Power of Artificial Markets</i> ; pp. 987-988.					02/09/2001
E	Net Exchange Speaks at Eye for Energy Conference in Amsterdam; <i>Net Exchange provides insight into evolution of energy trading</i> ; 2 pages.					03/28/2001
F	Net Exchange Architects of Markets; <i>Market Architecture: Improving Markets by Enhancing Choice</i> ; 9 pages.					05/2001
G	Net Exchange Presents at Energy Venture Fair; <i>Net Exchange presents innovative solutions that address challenges faced by the energy industry</i> ; 1 page.					06/26/2001
H	Net Exchange Addresses Dash Optimization User Group; <i>Net Exchange disuses the application of optimization software to the commerce function of deal-making</i> ; 2 pages.					11/07/2001
I	News Futures Prediction Markets; <i>Trading uncertainty for collective wisdom</i> ; 2 pages.					12/06/2001
J	Charles R. Plott, et al.; Division of the Humanities and Social Sciences California Institute of Technology; <i>Information Aggregation Mechanisms: Concept, Design and Implementation for a Sales Forecasting Problem</i> ; 34 pages.					03/2002
K	Net Exchange Deploys in the Transportation Procurement Market; <i>Net Exchange's advanced combined value trading framework selected for Schneider Logistics' SUMIT CVA procurement system</i> ; 2 pages.					07/08/2002
L	John O. Ledyard, et al.; Interfaces; <i>The First Use of a Combined-Value Auction for Transportation Services</i> ; Vol. 32, No. 5; pp. 4-12.					Sept/Oct 2002
M	Joyce E. Berg, et al.; Information Systems Frontiers; <i>Prediction Markets as Decision Support Systems</i> ; pp. 79-93.					2003
N	Hal R. Varian; The New York Times; <i>Can markets be used to help people make nonmarket decisions?</i> ; 3 pages.					05/08/2003
O	Charles Polk, et al.; <i>The Policy Analysis Market: An Electronic Commerce Application of a Combinatorial Information Market</i> ; ACM 1-58113-679-X/03/0006.					June 9-12 2003
P	Net Exchange Expanding the Value of Commerce; <i>The Policy Analysis Market: "Market in Death" Or Your Next Decision Support Tool?</i> ; 15 pages.					09/09/2003
Q	Harvard Business School Working Knowledge; <i>You Can Bet on Idea Markets</i> ; 4 pages.					12/01/2003
R	Justin Wolfers, et al.; Journal of Economic Perspectives; <i>Prediction Markets</i> ; Volume 18, Number 2; pp. 107-126.					2004
S	James Surowiecki; Wired; <i>Smarter Than the CEO</i> ; Issue 12.06; 3 pages.					06/2004
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C	Barbara Kiviat; TIME; <i>The End of Management?</i> ; 5 pages.	07/06/2004
D	James M. Pethokoukis; Money & Business; <i>All seeing all knowing</i> ; 5 pages.	08/22/2004
E	Emile Servan-Schreiber, et al.; Electronic Markets; <i>Prediction Markets: Does Money Matter?</i> ; 11 pages.	09/2004
F	Common Knowledge Markets; <i>Swing State Presidential Prediction Markets</i> ; 2 pages.	10/06/2004
G	Chris Hibbert; CommerceNet Labs Technical Report 05-02; <i>Zocalo: An Open-Source Platform for Deploying Prediction Markets</i> ; 21 pages.	02/2005
H	NewsFutures Prediction Markets; <i>Trading uncertainty for collective wisdom</i> ; 3 pages.	03/15/2005
I	Business Week; <i>The Power of Us, Mass Collaboration on the Internet is shaking up business</i> ; 6 pages.	06/20/2005
J	Corey Hajim; FORTUNE; <i>Making a Market in (almost) anything</i> ; pp. 103-106.	08/08/2005
K	Prediction Markets Blog by Consensus Point; <i>Popular Prediction Market Software Becomes Open Source</i> ; 3 pages.	08/29/2005
L	Bill Saporito; TIME; <i>Place Your Bets! On terrorism, on politics, on your future. Why markets will have a say in almost everything</i> ; 1 page.	10/24/2005
M	Alix Nyberg Stuart; CFO.com; <i>Market Magic, Internal markets can solve thorny allocation problems and predict the future</i> ; 3 pages.	11/01/2005
N	CBS News; <i>The Crowd Knows Best</i> ; 2 pages.	01/08/2006
O	Prediction Markets Blog by Consensus Point; <i>Foresight Technologies Changes Name to 'Consensus Point'</i> ; 3 pages.	01/30/2006
P	<i>Prediction Mkt Conference Presentation</i> ; 4 pages.	02/06/2006
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V	Motoko Rich; The New York Times; <i>Publisher to Let the Public Have a Vote on Book Projects</i> ; 3 pages.	05/21/2007

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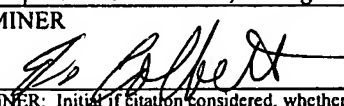
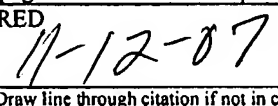
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	O	Peter Bossaerts, et al.; European Economic Review; <i>Inducing liquidity in thin financial markets through combined-value trading mechanisms</i> ; pp 1-25.	Unknown
	P	The Cambridge-MIT Institute; <i>PROJECTS, Innovation Futures UK</i> ; 3 pages.	Unknown
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	T	<i>Common Knowledge Markets</i> ; 7 pages.	Unknown
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	X	Inside-Out Markets; <i>Putting market forces to work inside your business</i> ; 4 pages.	Unknown
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